

**CIArb COST-CONTROLLED EXPEDITED
ARBITRATION RULES**

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Article 1 – Scope of Application

1.1 The provisions of the CIArb Arbitration Rules shall apply except to the extent that the Cost-controlled Expedited Arbitration Rules (CCEA Rules) provide otherwise.

By agreeing to arbitration under the CIArb Arbitration Rules, the parties agree that the CCEA Rules shall apply if the arbitration agreement was concluded after 1 July 2018 and:

- a) the value of the claim does not exceed the equivalent of £2,000,000.00 calculated on the date of the notice of arbitration, served in accordance with Article 3 of the CIArb Arbitration Rules (the Value of the Claim); or
- b) the parties have agreed that they will apply the CCEA Rules regardless of the amount in dispute.

1.2 In the event that the total value of the sums in dispute, being the Value of the Claim and the value of any counterclaim or a claim for the purpose of a set-off ('the total amount in dispute'), exceed the equivalent of £2,000,000.00 calculated on the date of the notice of arbitration, served in accordance with Article 3 of the CIArb Arbitration Rules, the arbitrator shall determine whether it is appropriate for the arbitration to proceed under either the CCEA Rules or the CIArb Arbitration Rules excluding Appendix III. Factors to consider when making such a determination include, but are not limited to, considerations as to whether in the interest of the fair and efficient resolution of the dispute it is more appropriate for it to be governed by the CCEA Rules or the CIArb Arbitration Rules, bearing in mind, in particular, the need to treat the parties fairly and to give each party a reasonable opportunity to present its case.

1.3 The CCEA Rules shall not apply if the parties have expressly agreed to opt out of the CCEA Rules.

Article 2 - Appointment and conduct of proceedings

2.1 The arbitration under these CCEA Rules shall be conducted by a sole arbitrator.

2.2 Promptly after appointment and having consulted with the parties, the arbitrator shall establish a timetable setting out the various steps to be followed in the arbitration.

2.3 In all cases, the arbitrator and the parties shall act in the spirit of the CCEA Rules and shall make every effort to expedite the process. The arbitrators and the parties may use electronic means of communication for the purposes of communication and service of documents.

Article 3 - Statements of claim; statement of defence (and counterclaim, if any)

3.1 Within 28 days of the arbitrator's appointment, the claimant shall send a statement of claim in writing to the respondent and the arbitrator with all documents, witness statements and expert reports relied on in support of it.

3.2 Within 28 days of the receipt of the statement of claim, the respondent shall send a statement of defence, including a counterclaim or a claim for the purpose of a set-off, in writing to the claimant and the arbitrator. The statement of defence, shall respond to the issues raised in the statement of claim, and shall be served with all documents, written witness

statements and expert reports relied on in support of it.

3.3 Within 28 days of the receipt of the respondent's statement of defence, including counterclaim or a claim for the purpose of a set-off, the claimant shall send a statement of reply in writing to the respondent and the arbitrator. The statement of reply shall be served with all documents, written witness statements and expert reports relied on in support of it.

Article 4 – Costs caps

4.1 The arbitrator's fee shall be capped at 5% of the total amount in dispute or such lesser sum as the arbitrator may determine, excluding any VAT or other taxes where applicable.

4.2 In addition to the fee charged under Article 4.1, the arbitrator may also recover reasonable expenses incurred in connection with the arbitration.

4.3 The parties' recoverable legal costs shall be capped at 15% of the total amount in dispute or such lesser sum as the arbitrator may determine, excluding any VAT or other taxes where applicable. A party may also recover other costs incurred in relation to the arbitration to the extent that the arbitrator determines that the amount of such costs is reasonable.

Article 5 - Hearing

5.1 The arbitration shall proceed on documents-only basis, unless the applicable law requires a hearing or the arbitrator determines that a hearing is appropriate. Where a hearing is to be held, the arbitrator, after consulting with the parties, shall decide the place and date of the hearing. The hearing

shall not exceed one day unless the arbitrator determines otherwise.

5.2 In order to expedite matters at a hearing, the arbitrator may:

- a) specify which witnesses and/or experts will be heard;
- b) conduct the questioning of witnesses; and
- c) require two or more witnesses and/or experts be examined together.

Article 6 - The Award

6.1 The arbitrator shall make the final award including costs within 180 days from the date on which the arbitrator was appointed.

6.2 In exceptional circumstances, the arbitrator may extend the period for making the final award including costs by a further 30 days. Should additional time be required thereafter the President of the CIArb may grant a further extension of time, upon a written and reasoned request addressed to the President from the arbitrator or if otherwise deemed necessary. Any written request for such an extension shall be submitted to the President no later than 14 days prior to the expiration of the time limits prescribed by Articles 6.1 and 6.2. Having regard to the expedited nature of the proceeding the President may only grant a time extension pursuant to this clause in exceptional circumstances and for no more than two months or for an alternative period of time as the President shall, after consultation with the arbitrator and the parties, deem appropriate.

6.3 In any event, if there is a challenge to the arbitrator, the period for making the final award in article 6.1 shall be extended by the period of any suspension of the proceedings due to the challenge.

NOTE

The Practice and Standards Committee (PSC) keeps these rules under constant review. Any comments and suggestions for updates and improvements can be sent by email to psc@ciarb.org